



UNITED STATES
PATENT AND
TRADEMARK OFFICE

MARCH 12, 2002

PTAS

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Washington, DC 20231
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PENNIE & EDMONDS, LLP
ADRIANE M. ANTLER
1155 AVENUE OF AMERICAS
NEW YORK, N.Y. 10036-2711



101953071A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

8449-159

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/07/2002

REEL/FRAME: 012463/0312
NUMBER OF PAGES: 9

BRIEF: MERGER (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

AQUILA BIOPHARMACEUTICALS, INC.

DOC DATE: 05/31/2001

ASSIGNEE:

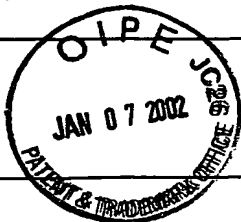
ANTIGENICS, INC.
34 COMMERCE WAY
WOBURN, MASSACHUSETTS 01801

SERIAL NUMBER: 07573268 ✓
PATENT NUMBER: 5057540

FILING DATE: 08/27/1990
ISSUE DATE: 10/15/1991

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

REFERRED TO <i>Antigenics</i> REC'D
MAR 19 2002
Pennie & Edmonds O.K. for filing _____

01-10-02
01-18-2002

101953071

Express Mail Number: EL 501 742 475 US

COVER SHEET
LYAttorney Docket Number
8449-159TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS
Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1-7-02
AQUILA BIOPHARMACEUTICALS, INC.Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☒ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: May 31, 2001

2. Name and address of receiving party(ies):

Name: ANTIGENICS, INC.

Address: 34 Commerce Way

Woburn, Massachusetts 01801

Country (if other than USA): _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

B. Patent No.(s) 5,057,540

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
1155 Avenue of Americas
New York, N.Y. 10036-2711

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40

Please charge to the deposit account listed in Section 8.

8. Deposit account number:

16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Adriane M. Antler

32,605

January 7, 2002

Name of Person Signing

Reg. No.

Signature

Date

Total number of pages including cover sheet:

9

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

01/17/2002 LMEJELLER 00000119 161150 5057540

01 FC:581 40.00 CH

State of Delaware
Office of the Secretary of State

PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"AQUILA BIOPHARMACEUTICALS, INC.", A DELAWARE CORPORATION,
WITH AND INTO "ANTIGENICS INC." UNDER THE NAME OF
"ANTIGENICS INC.", A CORPORATION ORGANIZED AND EXISTING UNDER
THE LAWS OF THE STATE OF MASSACHUSETTS, AS RECEIVED AND FILED IN
THIS OFFICE THE THIRTY-FIRST DAY OF MAY, A.D. 2001, AT 2 O'CLOCK
P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE
NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 1163848

DATE: 05-31-01

3398165 8100M

010259992

CERTIFICATE OF MERGER
OF
AQUILA BIOPHARMACEUTICALS, INC.
(a Delaware corporation)
INTO
ANTIGENICS INC.
(a Massachusetts corporation)
which will be the surviving corporation
(UNDER SECTION 252 OF THE GENERAL CORPORATION LAW
OF THE STATE OF DELAWARE)

The undersigned corporation, organized and existing under and by virtue of the General Corporation Law of the State of Delaware, does hereby certify:

FIRST: That the name and state of incorporation of each of the constituent corporations of the merger is as follows:

<u>Name</u>	<u>State of Incorporation</u>
Aquila Biopharmaceuticals, Inc.	Delaware
Antigenics Inc.	Massachusetts

SECOND: That an agreement and plan of merger between the parties to the merger has been approved, adopted, certified, executed and acknowledged by each of the constituent corporations in accordance with the requirements of Section 252 of the General Corporation Law of the State of Delaware.

THIRD: That the surviving corporation of the merger is Antigenics Inc.

FOURTH: That, by virtue of the merger, the Articles of Organization of Antigenics Inc. shall be the articles of organization of the surviving corporation.

FIFTH: That the executed agreement and plan of merger is on file at the Massachusetts office of the surviving corporation, located at 34-A Commerce Way, Woburn, MA 01801.

SIXTH: That a copy of the agreement and plan of merger will be furnished by the surviving corporation, on request and without costs, to any stockholder of any constituent corporation.


SEVENTH: That the surviving corporation agrees that it may be served with process in the State of Delaware in any proceeding for enforcement of any obligation of any constituent

corporation of the State of Delaware, as well as for enforcement of any obligation of the surviving corporation arising from the merger, including any suit or other proceeding to enforce the right of any stockholders as determined in appraisal proceedings pursuant to Section 262 of the General Corporation Law of the State of Delaware and irrevocably appoints the Secretary of State of the State of Delaware as its agent to accept service of process in any such suit or other proceedings and a copy of such process shall be mailed by the Delaware Secretary of State to: Antigenics Inc., 630 Fifth Avenue, Suite 2100, New York, New York.

[Remainder of Page Intentionally Left Blank]

IN WITNESS WHEREOF, Antigenics Inc. has caused this Certificate of Merger to be signed by its authorized officer, with effect as of the 31st day of May, 2001.

ANTIGENICS INC.

By: 
Name: Garo Armen
Title: President

FEDERAL IDENTIFICATION
NO. applied for
000 742 440
(Antigenics Inc.)

FEDERAL IDENTIFICATION
NO. 04-3307818 (M)
(Aquila Biopharmaceuticals, Inc.)

The Commonwealth of Massachusetts

William Francis Galvin
Secretary of the Commonwealth
One Ashburton Place, Boston, Massachusetts 02108-1512

ARTICLES OF ~~*CONSOLIDATION*~~ *MERGER (General Laws, Chapter 156B, Section 79)

~~*Consolidation*~~ *merger of

(m) 7/12/96

AQUILA BIOPHARMACEUTICALS, INC.

a Delaware corporation

(R)

-AND-

(m) 2/16/01

ANTIGENICS INC.

a Massachusetts corporation

the constituent corporations, into

(S)

ANTIGENICS INC.

~~*Consolidation*~~ *one of the constituent corporations organized under the laws of: Massachusetts

The undersigned officers of each of the constituent corporations certify under the penalties of perjury as follows:

1. An agreement of ~~*Consolidation*~~ *merger has been duly adopted in compliance with the requirements of General Laws, Chapter 156B, Section 79, and will be kept as provided by Subsection (c) thereof. The ~~*Consolidation*~~ *surviving corporation will furnish a copy of said agreement to any of its stockholders, or to any person who was a stockholder of any constituent corporation, upon written request and without charge.

2. The effective date of the ~~*Consolidation*~~ *merger determined pursuant to the agreement of ~~*Consolidation*~~ *merger shall be the date approved and filed by the Secretary of the Commonwealth. If a later effective date is desired, specify such date which shall not be more than thirty days after the date of filing:

3. (For a merger)

**The following amendments to the Articles of Organization of the surviving corporation have been effected pursuant to the agreement of merger:
None.

(For a consolidation)

(a) The purpose of the resulting corporation is to engage in the following business activities:
Not Applicable

C
P
M
R.A.

4

*Delete the inapplicable word.
Note: If the space provided under any article or item on this form is insufficient, additions shall be set forth on separate 8 1/2 x 11 sheets of paper with a left margin of at least 1 inch. Additions to more than one article may be made on a single sheet as long as each article requiring each addition is clearly indicated.

P.C.

155L-700-0-00

State the total number of shares and the par value, if any, of each class of stock which the resulting corporation is authorized to issue.

WITHOUT PAR VALUE		WITH PAR VALUE		
TYPE	NUMBER OF SHARES	TYPE	NUMBER OF SHARES	PAR VALUE
Common:		Common:		
Preferred:		Preferred:		

(c) If more than one class of stock is authorized, state a distinguishing designation for each class and provide a description of the preferences, voting powers, qualifications, and special or relative rights or privileges of each class and of each series then established.

Not Applicable

(d) The restrictions, if any, on the transfer of stock contained in the agreement of consolidation are:

Not Applicable

(e) Other lawful provisions, if any, for the conduct and regulation of the business and affairs of the corporation, for its voluntary dissolution, or for limiting, defining, or regulating the powers of the corporation, or of its directors or stockholders, or of any class of stockholders:

Not Applicable

Item 4 below may be deleted if the ~~resulting~~ surviving corporation is organized under the laws of a state other than Massachusetts.

4. The information contained in Item 4 is not a permanent part of the Articles of Organization of the ~~resulting~~ surviving corporation.

(a) The street address of the ~~resulting~~ surviving corporation in Massachusetts is: (post office boxes are not acceptable)
34-A Commerce Way, Woburn, MA 01801

If there are no provisions state "None"

(c) The name, residential address and post office address of each director and officer of the ~~XXXXXX~~ surviving corporation is:

NAME	RESIDENTIAL ADDRESS	POST OFFICE ADDRESS
President: Garo Armen	66 Mayfair Lane Manhasset, NY 11030	c/o Antigenics Inc. 630 Fifth Ave., Ste. 2100, New York, NY 10111
Treasurer: Garo Armen	Same as above	Same as above
Clerk: Garo Armen	Same as above	Same as above
Directors: Garo Armen	Same as above	Same as above

(c) The fiscal year end (i.e. tax year) of the ~~XXXXXX~~ surviving corporation shall end on the last day of the month of: December

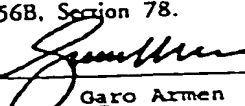

(d) The name and business address of the resident agent, if any, of the ~~XXXXXX~~ surviving corporation is: Not Applicable

CT Corporation, 101 Federal St., Boston, MA 02110
Item 5 below may be deleted if the ~~XXXXXX~~ surviving corporation is organized under the laws of Massachusetts.

5.

FOR MASSACHUSETTS CORPORATIONS

The undersigned *President ~~XXXXXXXXXX~~ and *Clerk ~~XXXXXXXXXX~~ of Antigenics Inc., a corporation organized under the laws of Massachusetts, further state under the penalties of perjury that the agreement of ~~XXXXXX~~ merger has been duly executed on behalf of such corporation and duly approved in the manner required by General Laws, Chapter 156B, Section 78.

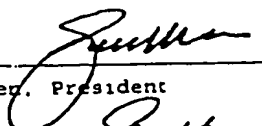
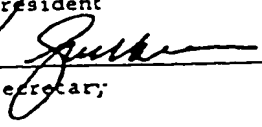

_____, *President ~~XXXXXXXXXX~~
Garo Armen

_____, *Clerk ~~XXXXXXXXXX~~
Garo Armen

FOR CORPORATIONS ORGANIZED IN A STATE OTHER THAN MASSACHUSETTS

The undersigned, † President _____ and †† Secretary _____ of Aquila Biopharmaceuticals, Inc., a corporation organized under the laws of Delaware, further state under the penalties of perjury that the agreement of ~~XXXXXX~~ merger has been duly adopted by such corporation in the manner required by the laws of Delaware.

*Delete the inapplicable words.

†Specify the officer having powers and duties corresponding to those of the president or vice president of a Massachusetts corporation organized under General Laws, Chapter 156B.
††Specify the officer having powers and duties corresponding to the clerk or assistant clerk of such a Massachusetts corporation.

† 
_____, Garo Armen, President
†† 
_____, Garo Armen, Secretary

021964

THE COMMONWEALTH OF MASSACHUSETTS
ARTICLES OF ~~CONSOLIDATION~~ *MERGER
(General Laws, Chapter 156B, Section 79)

I hereby approve the within Articles of ~~CONSOLIDATION~~ *Merger and,
the filing fee in the amount of \$ 250 -, having been paid,
said articles are declared to have been filed with me this 31st
day of May, 20 01.

Effective date _____



WILLIAM FRANCIS GALVIN
Secretary of the Commonwealth

TO BE FILLED IN BY CORPORATION
Photocopy of document to be sent to:

Meghan Hannigan, Esq.
Palmer & Dodge LLP
One Beacon St., Boston, MA 02108
Telephone (617) 571-0100